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PTO/SB/21(10/96)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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A MADEMANN STILL	Application Number	09/475,487
	Filing Date	December 30, 1999
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	First Named Inventor	Azar Assadi
(to be used for all correspondence after initial filling)	Group Art Unit	2878
	Examiner Name	
Total Number of Pages in This Submission 7	Attorney Docket Number	042390.P6880

			ENCLOSURES (check all that	арр	ly)		
Fee Transm	ittal Form	$\boxtimes$	Assignment Papers (for an Application)		After Allowance Communication to Group		
Fee Attached			Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment	t / Response		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After	Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information		
Extension o	f Time Request		To Convert a Provisional Application		Status Letter		
	andonment Request		Power of Attorney, Revocation Change of Correspondence Address	×	Additional Enclosure(s) (please identify below):		
	Disclosure Statement	Terminal Disclaimer			Postcard		
Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53			Small Entity Statement				
			Request for Refund				
			Remarks				
	SIGNATUR	E OF	APPLICANT, ATTORNEY, OR A	GEN	Τ		
1			ini, Reg. 42,261				
or Individual name BLAKELY, SC		OKOLOFF, TAYLOR & ZAFMAN					
Signature	Henrada	Ames'					
Date March 24, 200							

CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:  March 24, 2000							
Typed or printed name	Jean Svoboda						
Signature	Hear Sile	Date	03/24/00				

Burden Hour Statement: Tris form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (2/98)
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TOTAL	<b>AMOUNT</b>	OF	<b>PAYMENT</b>
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aspond to a collection of informatic	on unless it displays a valid Olvib Control number.				
Complete If Known					
Application Number	09/475,487				
Filing Date	12/30/99				
First Named Inventor	Azar Assadi				
Examiner Name					
Group Art Unit	2878				
Attorney Docket Number	042390.P6880				

METHOD OF PAYMENT (check one) FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. A	DDITI	ONA	L FEI		
	Large	Entity	Small	Entity		
Deposit Account 02-2666	Fee	Fee		Fee	Fee Description	Fee Paid
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Deposit	105 127		205 227		Surcharge - late filing fee or oath Surcharge - late provisional filing fee or	130
Account Blakely, Sokoloff, Taylor & Zafman LLP	127	30	221	20	cover sheet.	
Name	139	130	139	130	Non-English specification	
Charge Any Additional Charge the Issue Fee Set in 37 Fee Required Under 37 CFR 1.18 at the Mailing of the	147	2,520	147		For filing a request for reexamination	
CFR 1.16 and 1.17 Notice of Allowance, 37 CFR 1.311(b)	112	920	112	920	Requesting publication of SIR prior to	
2. X Payment Enclosed:					Examiner action	
— Money —	113	1,840	113	1,840	Requesting publication of SIR after	
Check Order Other					Examiner action	
FEE CALCULATION (fees effective 10/01/96)	115		215		Extension for response within first month	<b>——</b>
PEE CALCOLATION (lees elective 10/01/30)	116		216		Extension for response within second month	
1. FILING FEE	117		217		Extension for response within third month	<b></b>
Large Entity Small Entity	_	1,360			Extension for response within fourth month	<del></del>
Fee Fee Fee Fee Description Fee Paid		1,850			Extension for response within fifth month	$\vdash$
Code (\$) Code (\$)	119		219 220		Notice of Appeal	$\vdash$
101 690 201 345 Utility filing fee	120 121		221		Filing a brief in support of an appeal  Request for oral hearing	<b></b>
106 310 206 155 Design filing fee	138				Petition to institute a public use proceeding	$\vdash$
107 480 207 240 Plant filing fee	140	•	240		Petition to revive - unavoidably	
108 690 208 345 Reissue filing fee	141	1,210			Petition to revive - unintentionally	
114 150 214 75 Provisional filing fee		1,210			Utility issue fee (or reissue)	
SUBTOTAL (1) (\$)	143	-	243		Design issue fee	
	144	580	244		Plant issue fee	
2. CLAIMS Fee from Extra below Fee Paid	122	130	122	130	Petitions to the Commissioner	
Total Claims   Section   S	123	50	123	50	Petitions related to provisional applications	
Independent Claims - = X	126	240	126	240	Submission of Information Disclosure Strnt	
	581	40	581	40	Recording each patent assignment per	ا ا
Multiple Dependent Claims Large Entity Small Entity					property (times number of properties)	40
Fee Fee Fee Fee Description	146	760	246	380	Filing a submission after final rejection	
Code (\$) Code (\$)	440	700	040	000	(37 CFR 1.129(a))	$\vdash \vdash$
103 18 203 9 Claims in excess of 20	149	/60	249	380	For each additional invention to be examined (37 CFR 1.129(b))	
102 78 202 39 Independent claims in excess of 3	Othe	r fee (s	specif	v)		
104 270 204 135 Multiple Dependent claim		r fee (s			•	
109 78 209 39 Reissue independent claims	]	(	-p-0011	·/ <del></del>		
over original patent						
110 18 210 9 Reissue claims in excess of 20 and over original patent						
					SUBTOTAL (3) (\$)	170.00
SUBTOTAL (2) (\$)	* Reduce	ed by Bas	sic Filing	Fee Pai	300101AE (3) ((3)	170.00
Complete (if applicable)						

SUBMITTED B	Complete (if applicable)				
Typed or Printed Name	Farzad E. Amini, Reg. 42,261			Reg. Number	<u> </u>
Signature	Popular Ani	Date	3/24/2000	Deposit Account User ID	02-2666

Attorney Docket No.: 042390.P6880

## IN THE UNITED STATES TATENT AND TRADEMARK OFFICE

In re the Application of:

AZAR ASSADI

Application No.: 09/475,487

Filed:

December 30, 1999

For:

**COLOR IMAGE SENSOR WITH INTEGRATED** 

BINARY OPTICAL ELEMENTS

Assistant Commissioner for Patents
Attention: Box Missing Parts

Washington, D.C. 20231

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed <u>February 17, 2000</u>, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
  - (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
  - (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Farzad E. Amini, Reg. 42,261

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231

March 24, 2000

03/24/00

Jean Svoboda

Date

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025

Telephone: (310) 207-3800

Dated: March 24, 2000





## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNÉY DOCKÉT NO/TITLE

09/475,487

Customer Service Center

ASSADI

042390.P6880

0212/021 BLAKELY SOKOW TAYLOR & ZAFMAN LLP

12400 WILSHIRE BOUL EVARD SEVENTE FLOOR LOS ANGELEX CA 90025

BLAKELY, SOKOLOFF, TAYLOR & ZAFMANS 2 2 2000 LOS ANGELES

DATE MAILED:

STATUS DB-LA

02/17/00

## NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filling a petition accompanied by the extension fee under the provisions of '37 CFR 1: 136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of □ \$65.00

	for a small entity in compliance with 37 CFR 1.27, or Σ \$130.00 for a non-small entity, must also be timely submitted in to this NOTICE to avoid abandonment.	reply
	If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ⊠non-small entity is \$	
	☐ 1. The statutory basic filing fee is: ☐ missing. ☐ insufficient. Applicant must submit \$	WED
	2. The following additional claims fees are due:	د میسور
	\$fortotal claims over 20.	2000
•	for independent claims over 3.	A
	for multiple dependent claim surcharge.  Applicant must either submit the additional claim fees or cancel additional claims for which fees are que. 72	ا میداد به ایداد مصنعه
· · ·	<ul> <li>3. The oath or declaration:</li> <li>is missing or unsigned.</li> <li>does not cover the newly submitted items.</li> <li>An oath or declaration in compliance with 37 CFR 1. 63, Including residence information and identifying the applicate the above Application Number and Filing Date is required.</li> <li>4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.43 or 1.47.</li> <li>A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above</li> </ul>	
	Application Number and Filing Date, is required.  5. The signature of the following joint inventor(s) is missing from the oath or declaration:	4/2000
	An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.	
•	<ul> <li>6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).</li> <li>7. Your filing receipt was mailed in error because your check was returned without payment.</li> <li>8. The application was filed in a language other than English.         Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).     </li> <li>9. OTHER:</li> </ul>	00000007 09475487 130.00 0P
	Direct the reply and any questions about this notice to "Attention: Box Missing Parts."	0000
/	A copy of this notice <u>MUST</u> be returned with the reply.	IMARMOL OC